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**Atomic Bomb Survivor
Studies: Overview and
Recent Findings**



Radiation Epidemiology & Dosimetry Course

National Cancer Institute

www.dceg.cancer.gov/RadEpiCourse

Outline

1. ABCC/RERF background

- Immediate effects of the bombs
- Early studies
- Major cohorts

2. Dosimetry

- Survivor shielding and location
- Evolving dose estimates
T57D → DS02
- Dose uncertainties

3. Risk Estimation

- Relative versus absolute risks
- Describing risk patterns
 - Relative risks and excess rates
 - Dose response
 - Effect modification
- Issues
 - Time-since-exposure vs attained age
 - Latent periods
 - Interactions
 - Interpreting site-specific risks

Short-term effects

- **Result of**

- Blast (50% of energy)
- Heat (35% of energy)
 - Scorched wood up to 3.5km
- Radiation (15% of energy)

- **Cities largely destroyed**

- Wooden structures burned up to ~2.5km from hypocenter
- Blast effects apparent over similar distance range

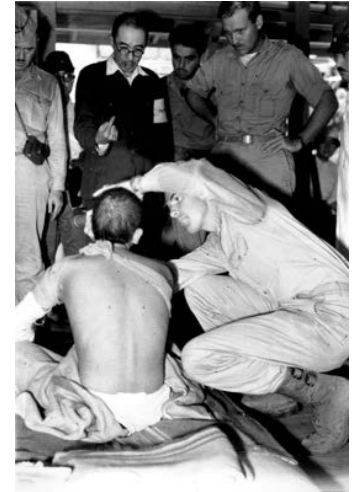
- **Populations decimated**

- Hiroshima 110,000 -140,000 deaths
- Nagasaki 70,000 deaths
- > 60% mortality within 1km of hypocenter



Health Effects Research 1945 - 1946

- **Japanese research groups**
 - Entered cities within days of bombings
 - Carried out surveys of injuries and deaths
- **US research groups**
 - Medical teams began arriving in September 1945
 - Efforts directed at cataloging acute radiation effects
- **US – Japan Joint Commission**
 - Characterize extent of early mortality
 - Nature of acute effects
 - Nausea – Oropharyngeal lesions
 - Epilation – Leukopenia
 - Flash burns
 - Bleeding



ABCC Activities (1)

1947-1955

- **Pregnancy outcomes**
 - 77,000 births 1947-1952
 - Malformations, premature births, birthweight, sex ratio
 - No significant effects
- **Leukemia**
 - Increase apparent by late 1940's
 - Established leukemia registry
 - Descriptive analyses in ill-defined population
 - No risk estimates

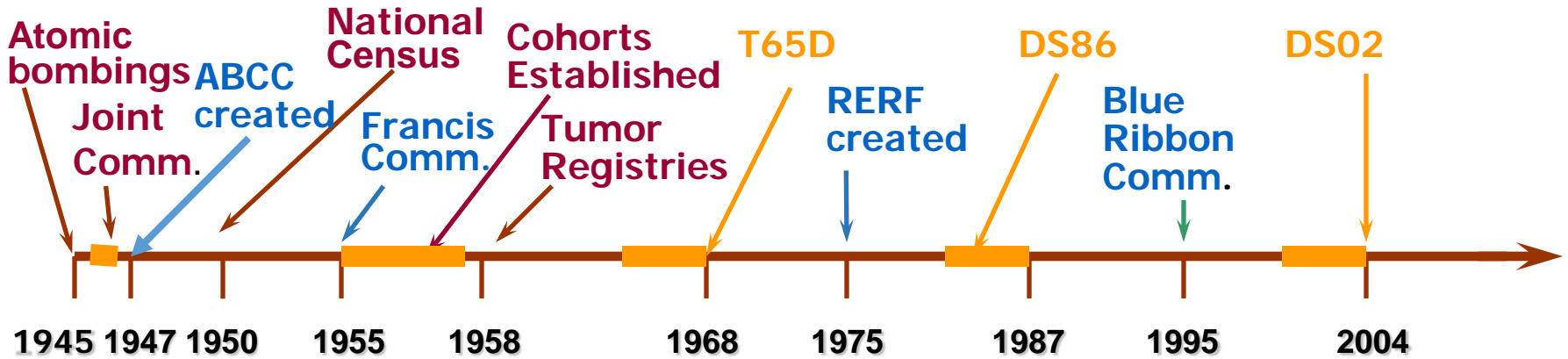
ABCC Activities (2)

1947-1955

- **1950 national census**
 - ABCC managed data processing
 - Special questionnaire for people who were in or near the cities at the time of the bombs used to define ABCC/RERF Master Sample
- **Long-term study plan (Gil Beebe, Seymour Jablon)**
 - Fixed cohorts of survivors, in-utero exposed, children
 - Clinical cohorts of survivors and in-utero-exposed
 - Mortality and cancer incidence follow-up
 - Autopsy program
 - Recognized need for individual dose estimates
 - Systematic program for collection of exposure data



A-bomb Survivor Studies



ABCC Studies

Mortality Study (LSS)

Cancer Incidence Study (LSS)

Clinical Study (AHS)

F1 Mortality Study

F1 Clinical Study (FOCS)



ABCC/RERF Cohorts Life Span Study (LSS)

Original LSS includes groups of non-military Japanese for whom follow-up data could readily be obtained:

- 1) All survivors < 2 km with acute effects
- 2) Matched group of other survivors < 2 km
- 3) Matched group of people who were 2.5-10km
- 4) Matched group of unexposed (not-in-city) individuals

**A-bomb Survivors
284,000**

**1950
Census**

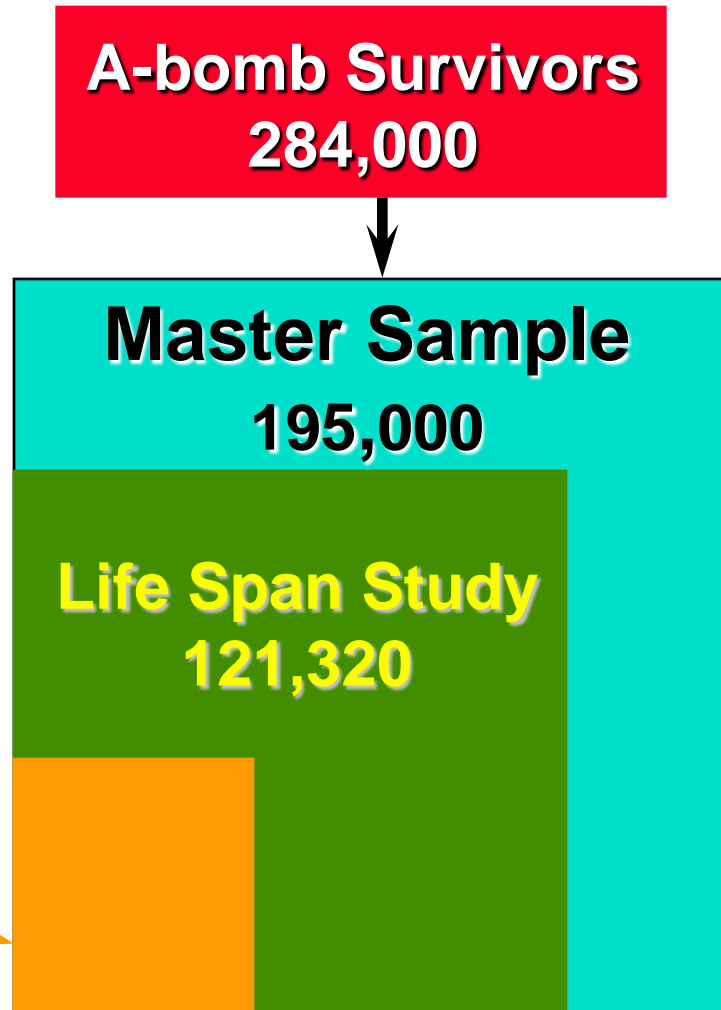
**Master Sample
195,000**

**Life Span Study
121,320**

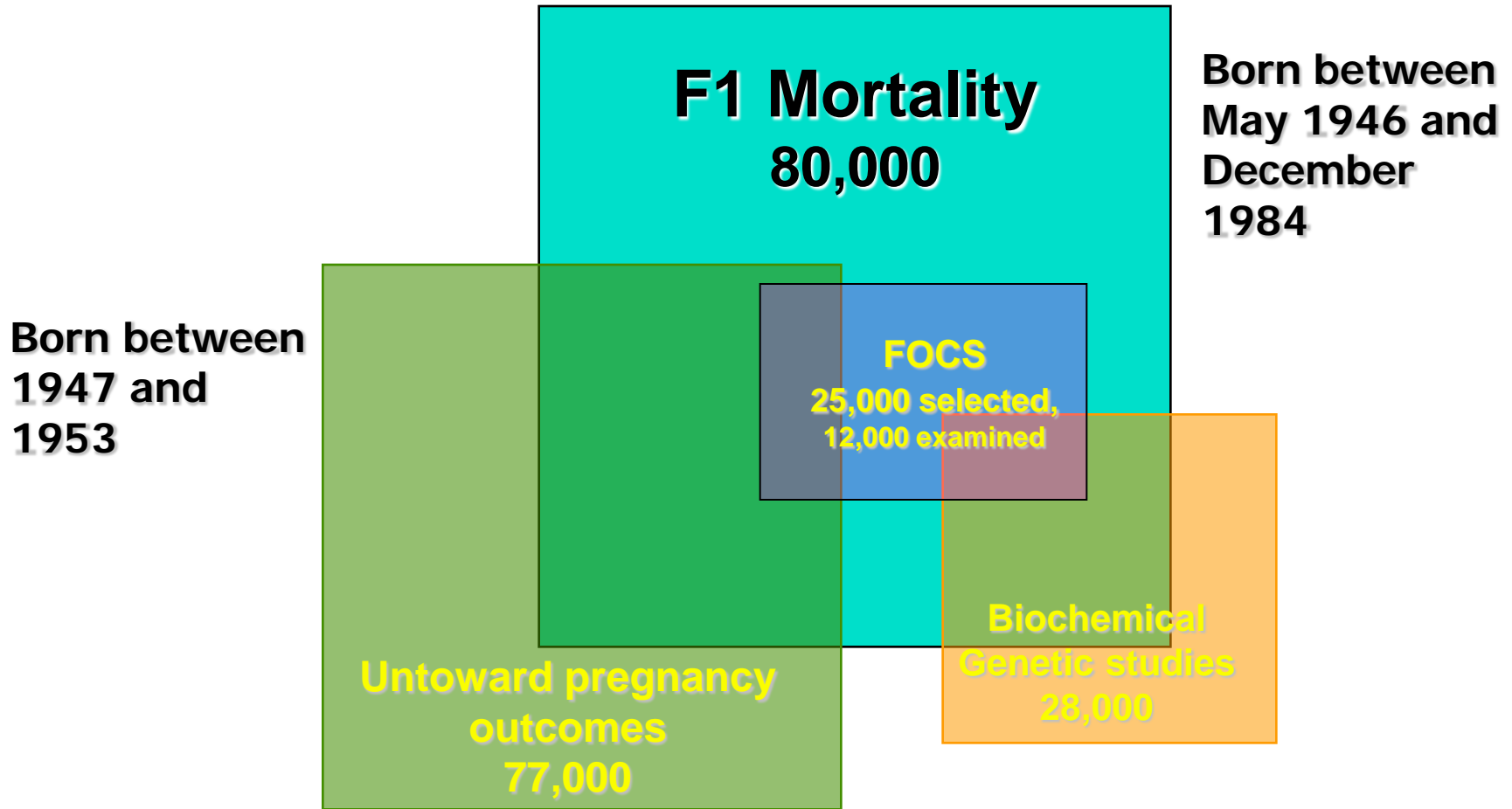
1958

**Adult Health Study
22,000**

1958



ABCC/RERF - F1 study cohorts



ABCC-RERF cohorts

In-utero cohort

**Pooled IU cohort
3,638 people**

- Pooled cohort combines overlapping clinical (1,606 members) and mortality (2,802 members) cohorts.
- Mortality and cancer incidence data are available for all members of the cohort.

ABCC/RERF Follow-up Programs

- **Mortality**

- Based on mandatory nation-wide family registration
- Updated on a three-year cycle

- **Cancer incidence**

- Hiroshima & Nagasaki tumor registries (1958 – present)
- ABCC pathology program 1958 – 1972
- Hiroshima & Nagasaki tissue registries 1973 - present

- **Leukemia and related disorders**

- Leukemia registry 1950 – 1987
- Hiroshima & Nagasaki Tumor Registries 1958 – present

- **Clinical Examinations**

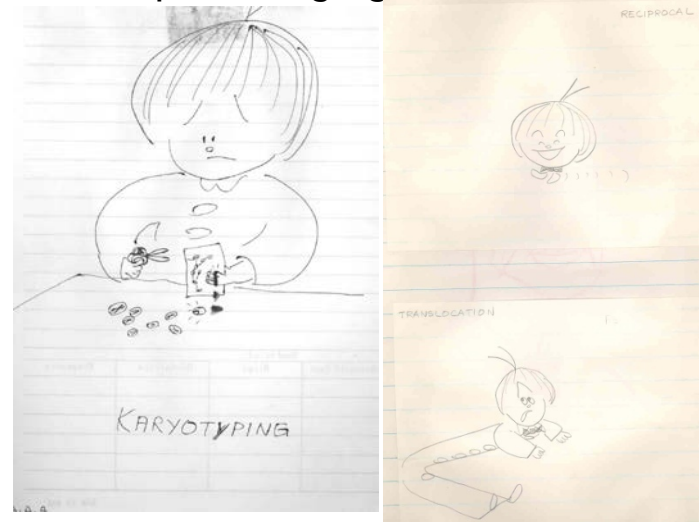
- Biennial exams
- 70-80% participation through 25 AHS exam cycles
- Adapted for use in F1 clinical study (FOCS)

- **Mail Surveys**

- 1965 (Ni-hon-san study men), 1968 (women), 1978, 1991, 2008

ABCC Research 1958 - 1975

- **Dosimetry** (Auxier, Kerr, Fujita, Kaul, Egbert, Cullings)
 - Development of location and shielding information
 - Introduction of first broadly accepted dosimetry system (T65D)
- **Periodic LSS cancer mortality reports** (Land, Beebe, Jablon, Kato)
 - Methodological developments & risk estimation
- **Clinical studies**
 - Cardiovascular disease (Ni-Hon-San), Non-specific aging
 - Thyroid and skin diseases
 - Radiation cataract
- **Cytogenetics studies** (Awa)
- **In-utero**
 - Physical growth and development
 - IQ
 - Mortality
- **F1**
 - Leukemia incidence
 - General mortality



Dosimetry



- **Location**

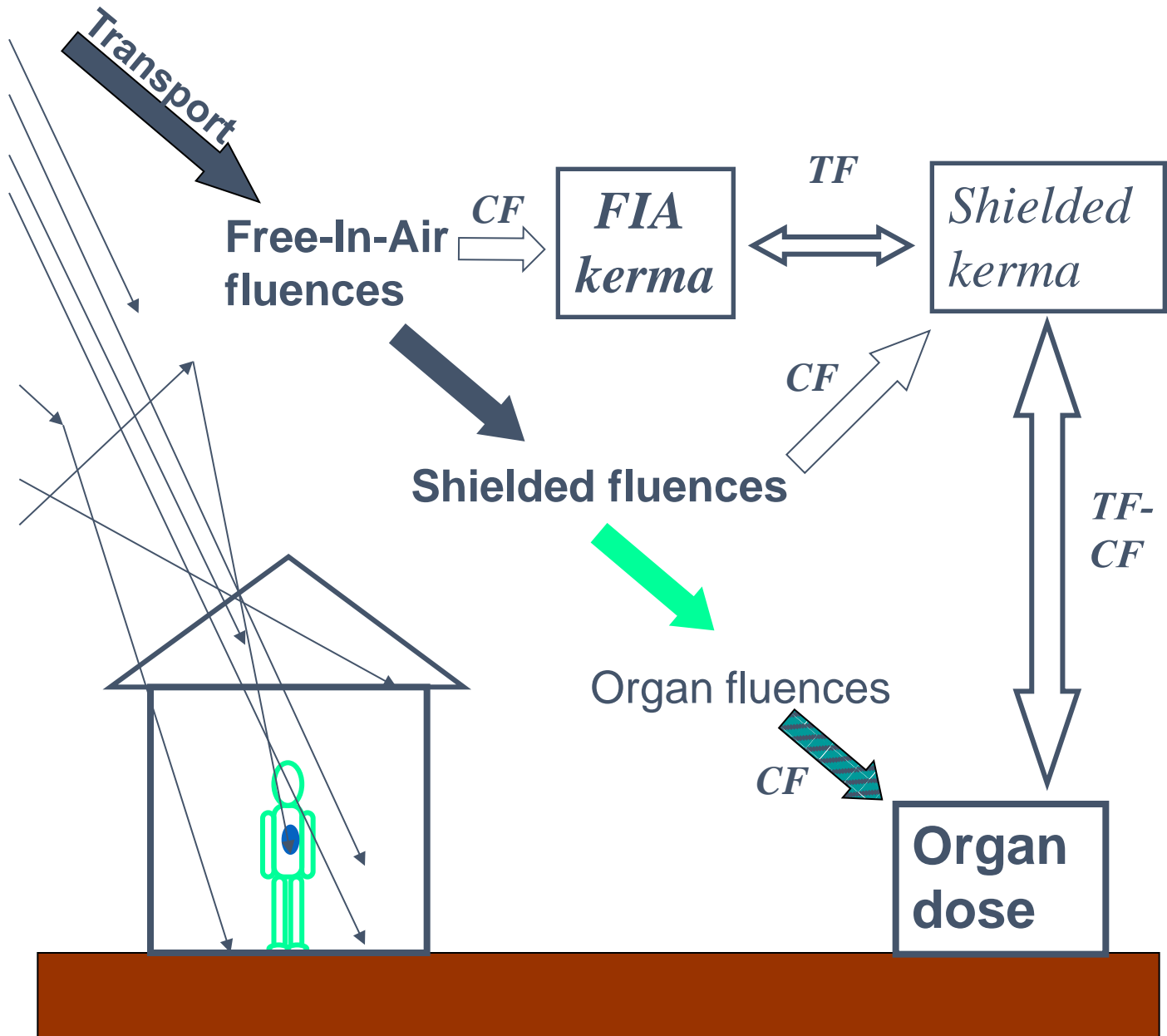
- Specified as coordinates on fairly crude US army maps
 - Sought corroboration of location
 - Recorded to nearest 10m in each coordinate if detailed shielding history obtained and nearest 100m for others
- Recently refined coordinates based on additional archival information and GIS methods

- **External Shielding**

- Crude shielding categories available for virtually all people of interest
- Detailed shielding histories for most survivors within 1.6km in Hiroshima and 2 km in Nagasaki

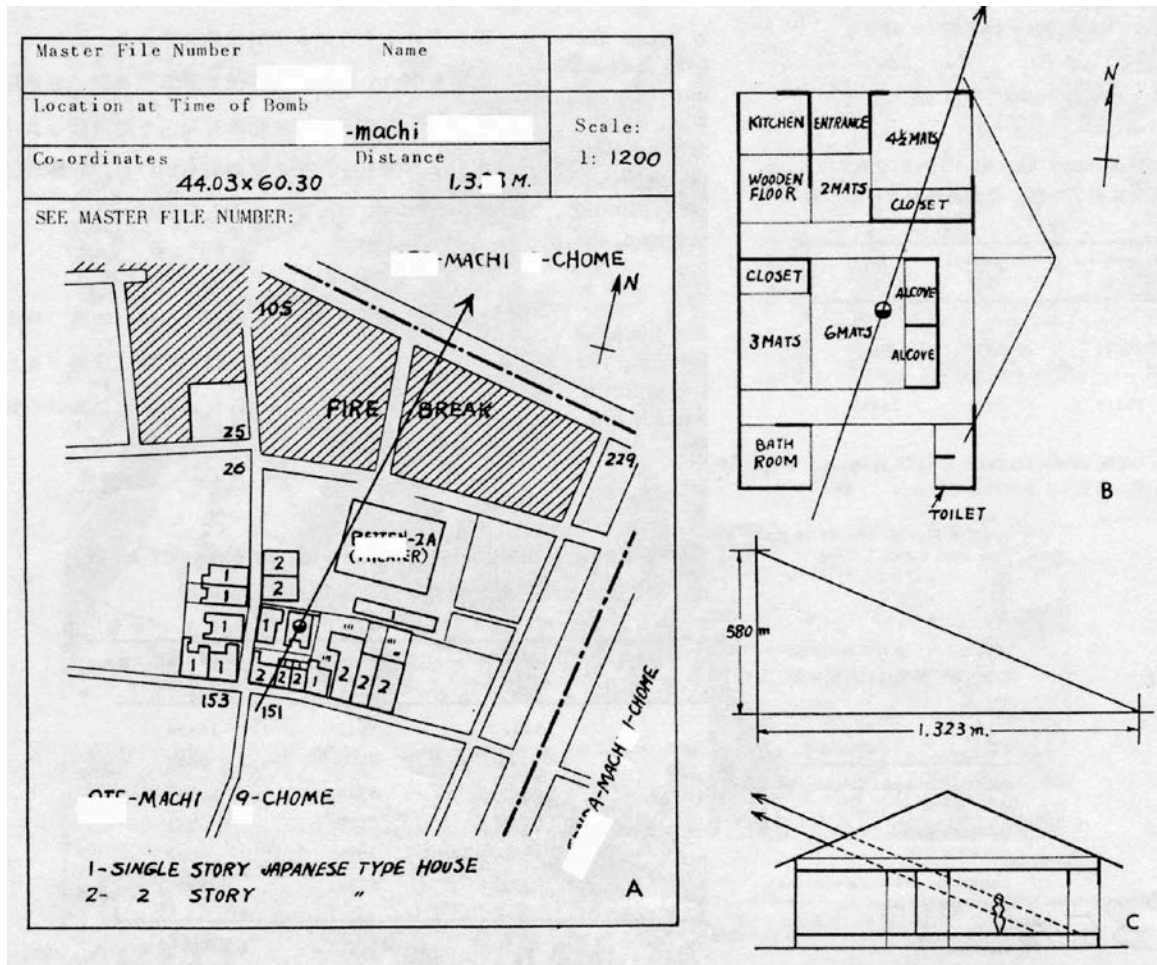
- **Self shielding (organ dose)**

- Shielding histories contain information on orientation and position



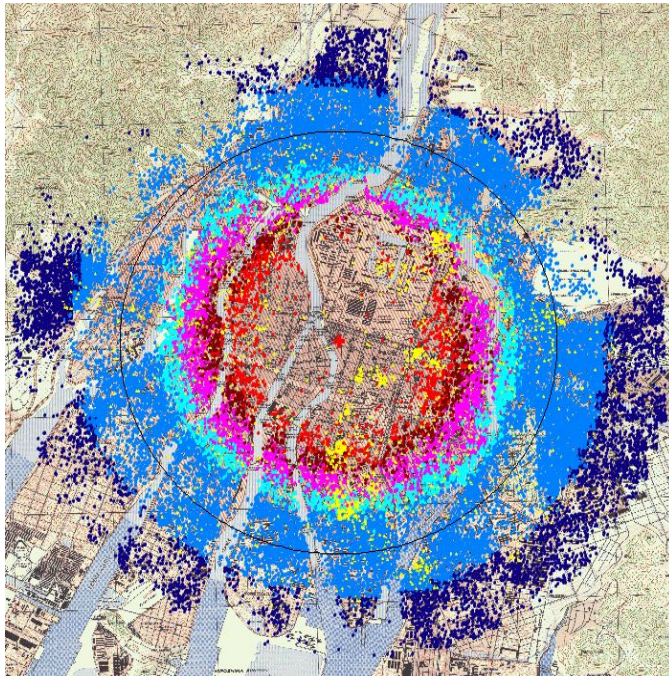
Courtesy of
H. Cullings

Sample Shielding History

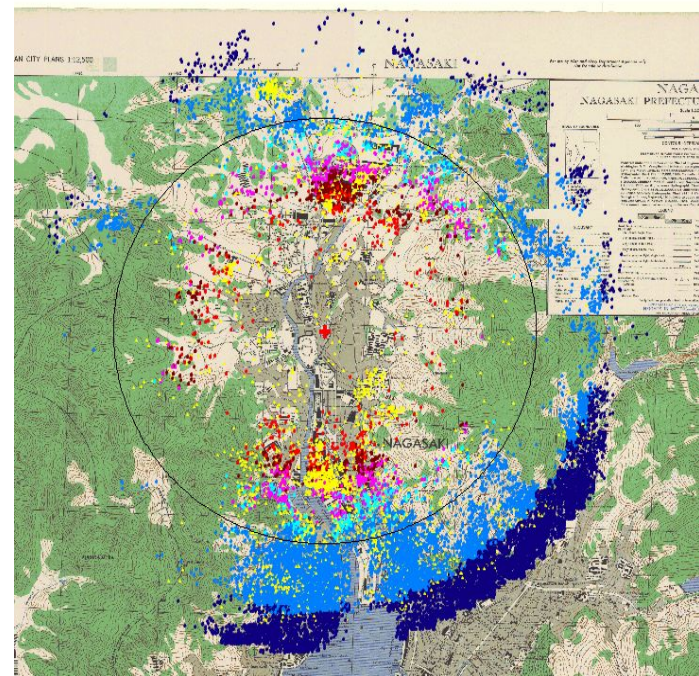


LSS Survivors within 3 Km

Hiroshima



Nagasaki



Dose (mSv)

● < 5

● 5 – 100

● 500 – 1000

● 1000 +

● 100 – 200

▲ unknown

● 200 - 500

✚ Hypocenter

* LSS: Life Span Study Cohort

Dosimetry History

- **Distance and acute effects**
- **Tentative 1957 Dosimetry (T57D)**
 - Declassified gamma and neutron “air dose” curves by city
 - Crude allowance for shielding
 - Never used for routine analyses
- **T65D**
 - City-specific gamma and neutron equations for free-in-air kerma versus distance
 - Limited validation from physical measurements (TLD and Co⁶⁰ activation)
 - External shielding effects described as transmission factors
 - House shielding based on nine-parameter model or average values
 - Globe method (look at shadows in model conditions)
 - Nagasaki factory model

Dosimetry History

DS86 (Fujita, Kerr, Egbert)

- **Motivated by concerns about T65D neutrons**
- **Involved review of all aspects of bombs, transport, and shielding**
- **Used (then-)modern monte-carlo transport codes**
- **Provided shielded kerma and dose estimates for 15 tissues with up to six components**
- **Reduced neutron doses (especially for Hiroshima) and transmission factors for houses**
- **Some validation by measurements, but some questions about neutron doses lingered**

Dosimetry History

DS02 (Fujita, Kerr, Egbert, Cullings)

- **Possibility of increased Hiroshima neutrons at distance received much attention**
- **Extensive program of validation measurements and inter-laboratory comparisons**
- **Additional review of bomb parameters**
 - Hiroshima yield increased from 15 to 16kt
 - Hiroshima height of burst 580 → 600
 - Nagasaki prompt gamma per kt increased by 9%
- **Further review of shielding effects**
 - New models for large wooden buildings and Nagasaki factories
 - Allowance for distal terrain shielding

Dose Uncertainty

(Jablon, Gilbert, Pierce, Stram Vaeth, Cullings)

- **Uncertainty recognized from the beginning, but**
- **Until recently little effort to allow for or assess impact of uncertainty on risk estimates**
- **Types of uncertainty**
 - Grouping (Berkson) errors
 - Error in individual location / shielding information (classical error)
 - Shared errors – yield, shielding parameters etc
- **Current doses corrected for 35% random errors using a regression calibration method in which D_{est} is replaced by $E(D_{true} | D_{est})$**

Dosimetry Current and Future Developments

- **Refinement of survivor locations**
 - Shielding history reassessment
 - GIS-based locations
- **Improved dose uncertainty adjustments**
 - New adjustment methods
 - Allowance for both grouping and measurement
 - Consideration of shared uncertainties

RERF Research 1975-1995

- **Improved LSS cancer mortality reports**
 - Dose–response shape & effect modification
- **Solid cancer and leukemia incidence reports**
- **Breast cancer incidence studies** (Land, Tokunaga)
 - Precursor to more recent site-specific incidence papers
- **F1 studies**
 - Biochemical and cytogenetics studies
- **In-utero**
 - Mental retardation, School performance
 - Cancer mortality, leukemia incidence

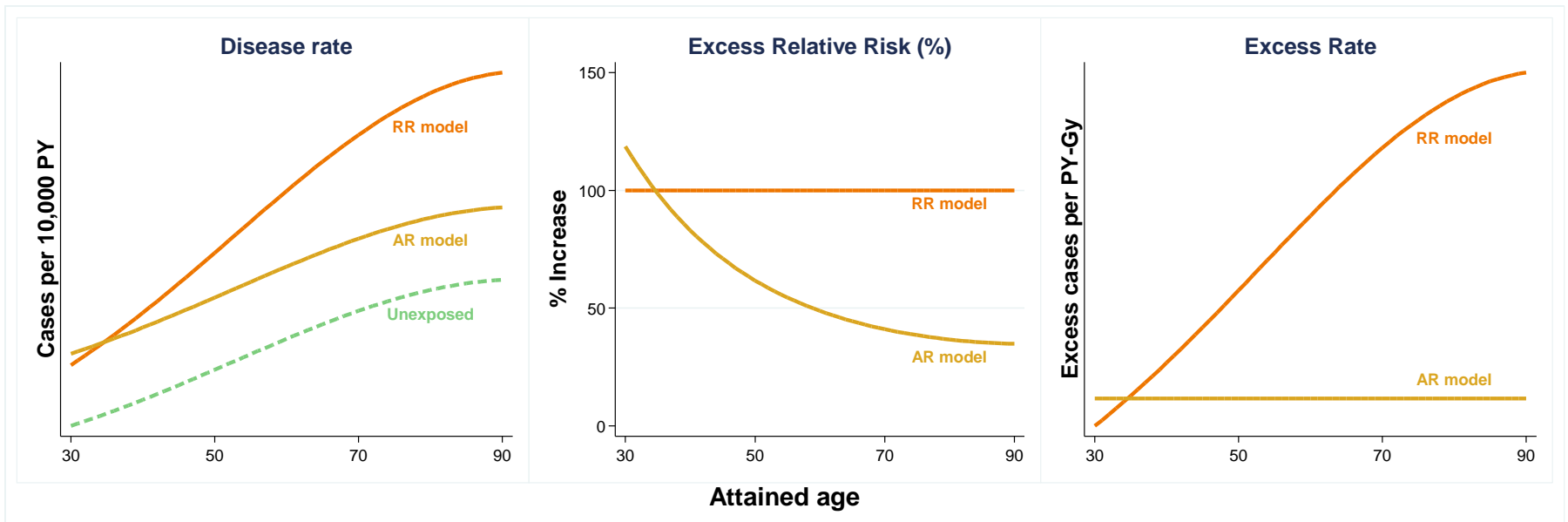
RERF Research 1995 - present

- **Increasing emphasis on site-specific cancer incidence**
- **Examination of joint effects of radiation and other risk factors**
- **Emerging evidence of non-cancer mortality risks**
- **Analyses of clinical data**
 - Noncancer disease morbidity
 - Longitudinal laboratory measurements (blood pressure, cholesterol, inflammatory markers)
 - Cataracts

The Old Debate

Relative versus Absolute Risks

- Do excess rates increase or become relatively less important as time goes by?

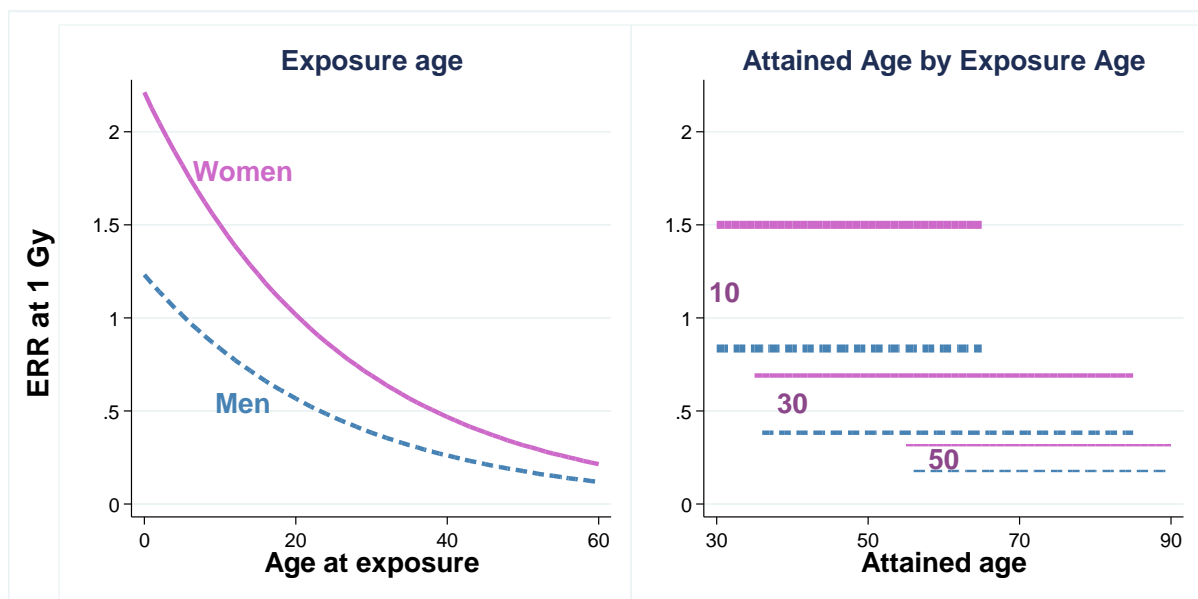


- By early 1980's it was agreed that constant relative risk provided a better description solid cancer risks
- Leukemia excess risk decreased over time and neither simple description was adequate

Evolving Understandings

Excess Risk is Not a Number

- (Relative) risk depends on sex and age at exposure



- Are excess relative risks constant in attained age (time) given age at exposure and sex?
- How should we interpret sex differences in the ERR?

Evolving Understandings Describing Excess Risks

Excess relative risk (ERR) model

$$\lambda_o(a, s, b)[1 + \rho(d) \varepsilon_R(s, e, a)]$$

Excess absolute rate (EAR) model

$$\lambda_o(a, s, b) + \rho(d) \varepsilon_A(s, e, a)$$

$\lambda_o(a, s, b)$ Baseline (zero dose) risk function (a age at risk; s sex; and b birth cohort)

$\rho(d)$ Dose-response shape , e.g. linear, linear-quadratic, threshold, ...

$\varepsilon(s, e, a)$ Effect modification function (e age at exposure)

Evolving Understandings ERR versus EAR description

- ERR and EAR are (in principle) equivalent descriptions of the excess risk

$$\varepsilon_R(s, e, a) = \frac{\varepsilon_A(s, e, a)}{\lambda_0(a, s, b)}$$

- Both ERR and EAR descriptions are important
- ERR and EAR provide complimentary information
 - Patterns in ERR effect modifiers may reflect factors such as sex and birth cohort effects in baseline rates
- Description may be simpler or more informative on one scale than the other

Describing Sex and Age-Time Effects

- **Smoothing the excess is essential to understanding**
 - Subset analyses have little power
 - Uncertainty can make it difficult to see patterns
- **Requires choice of variables and model form**
 - RERF analyses generally based on log-linear descriptions
 - Level of detail depends on amount of data

$$\varepsilon(s, e, a) = \exp(\beta_s + \theta e + \gamma \log(a))$$

$\exp(\beta_f) / \exp(\beta_m)$

$\exp(10 \theta) - 1$

γ

female:male excess (relative) risk ratio

% change per decade increase in age at exposure

power of age at risk

Describing Sex and Age-Time Effects

- **LSS data suggest that ERR varies with attained age (time since exposure)**
 - Difficult to conceive of a radiation carcinogenesis mechanism leading to time-constant ERR
- **Extensions of basic model possible**
 - Sex-dependent age and age at exposure effects
 - Other functions of age and age at exposure
- **However, available data usually too limited to support such detailed descriptions**

Solid Cancer Incidence 1958-98

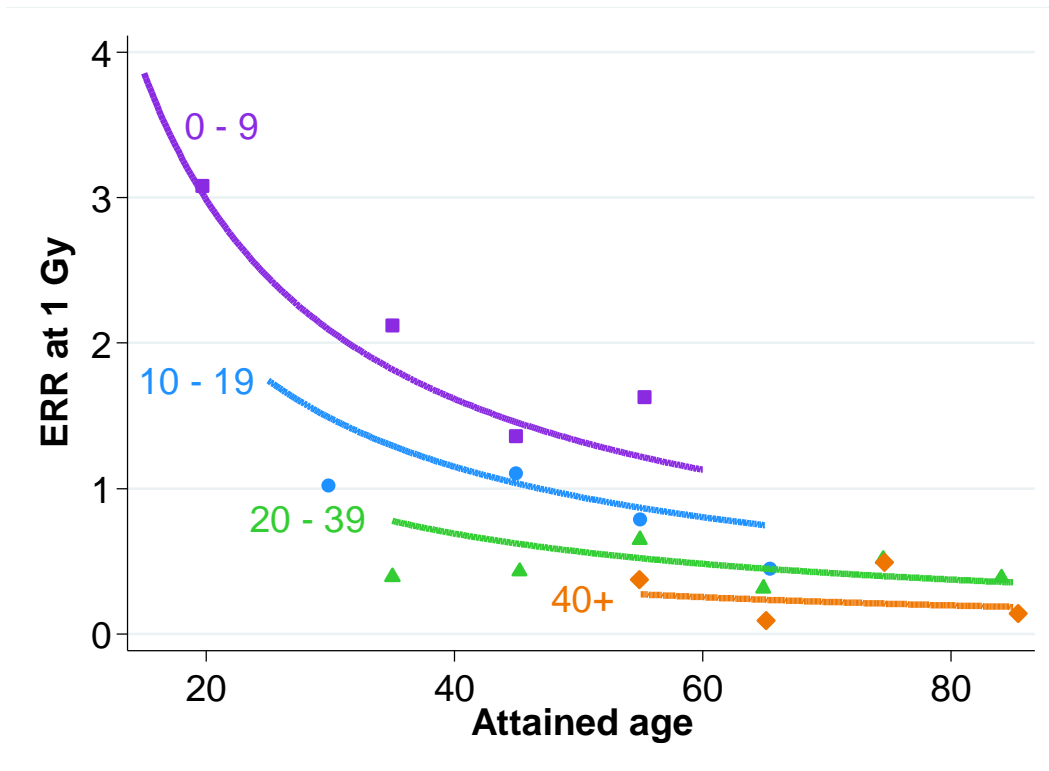
By age at exposure					
Age at exposure	People	Person years	Cases	Estimated Excess	AR%*
Male					
0-19	21,571	632,341	2,409	150	13%
20-39	8,522	229,518	2,569	86	8%
40+	12,809	178,419	2,991	61	5%
Total	42,902	1,040,278	7,969	297	9%
Female					
0-19	24,169	755,387	2,186	240	24%
20-39	21,561	679,452	4,423	233	11%
40+	16,795	289,614	2,870	83	6%
Total	62,525	1,724,453	9,479	556	13%
Total	105,427	2,764,731	17,448	853	11%
By colon dose					
Colon Dose	People	Person years	Cases	Estimated Excess	AR%
< 0.005	60,792	1,598,944	9,597	3	0%
- 0.1	27,789	729,603	4,406	81	2%
- 0.2	5,527	145,925	968	75	8%
- 0.5	5,935	153,886	1,144	179	16%
- 1	3,173	81,251	688	206	30%
- 2	1,647	41,412	460	196	43%
2+	564	13,711	185	111	60%
Total	105,427	2,764,732	17,448	853	11% *

* Attributable risk % for people with doses > 0.005 Gy

- Information on sex and age-time patterns depends (only) on radiation-associated ("excess") cases
- Excess cases not explicitly identified
- Number of relevant cases is relatively small, especially for specific sites
- No evidence against linear dose response

Solid Cancer Mortality 1950 – 2000

Excess Relative Risk Temporal Patterns

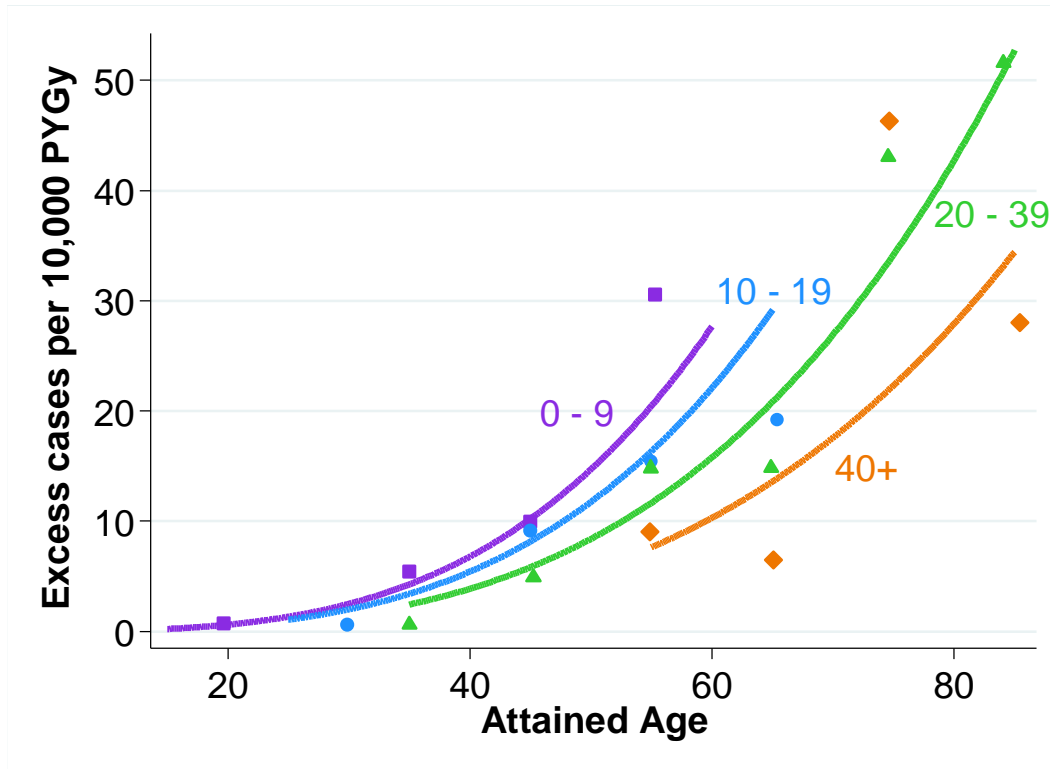


- Decrease proportional to $age^{-0.9}$
- ERR decreases by 29% per decade increase in age at exposure
- F:M ratio 1.9

Ozasa et al 2012 LSS Report 14, *Radiat. Res.*

Solid Cancer Mortality 1950 – 2000

Excess Rate Temporal Patterns



- Increase proportional to $age^{3.5}$
- EAR decreases by 20% per decade increase in exposure age
- F:M sex ratio 1.1

Ozasa et al 2012 LSS Report 14, *Radiat. Res.*

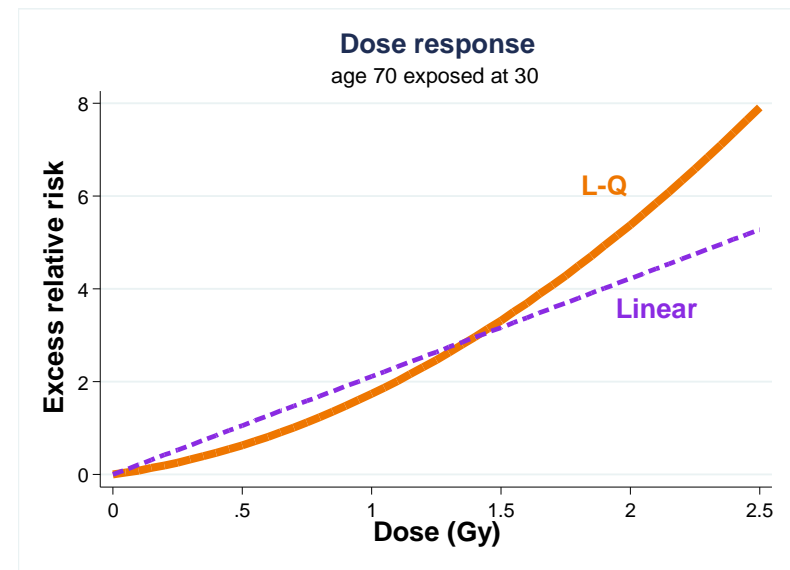
LSS Leukemia Mortality 1950-2000

By age at exposure					
Age at exposure	People	Person years	Cases	Estimated Excess	AR%*
Male					
0-19	16,827	783,098	60	26	58%
20-39	6,411	229,330	49	12	42%
40+	12,449	227,441	47	13	41%
Total	35,687	1,239,869	156	52	48%
Female					
0-19	18,569	891,288	42	16	51%
20-39	16,750	702,633	57	17	41%
40+	15,605	350,566	41	9	36%
Total	50,924	1,944,487	140	43	43%
Total	86,611	3,184,355	296	94	46%
By marrow dose					
Marrow Dose	People	Person years	Cases	Estimated Excess	AR%
< 0.005	36,502	1,342,168	89	0	0%
- 0.1	30,898	1,135,582	69	4	6%
- 0.2	6,006	223,701	17	4	25%
- 0.5	6,993	256,584	31	13	41%
- 1	3,512	129,053	27	18	68%
1+	2,700	97,267	63	55	87%
Total	86,611	3,184,355	296	94	46%*

* Attributable risk % among survivors with marrow dose > 0.005 Gy

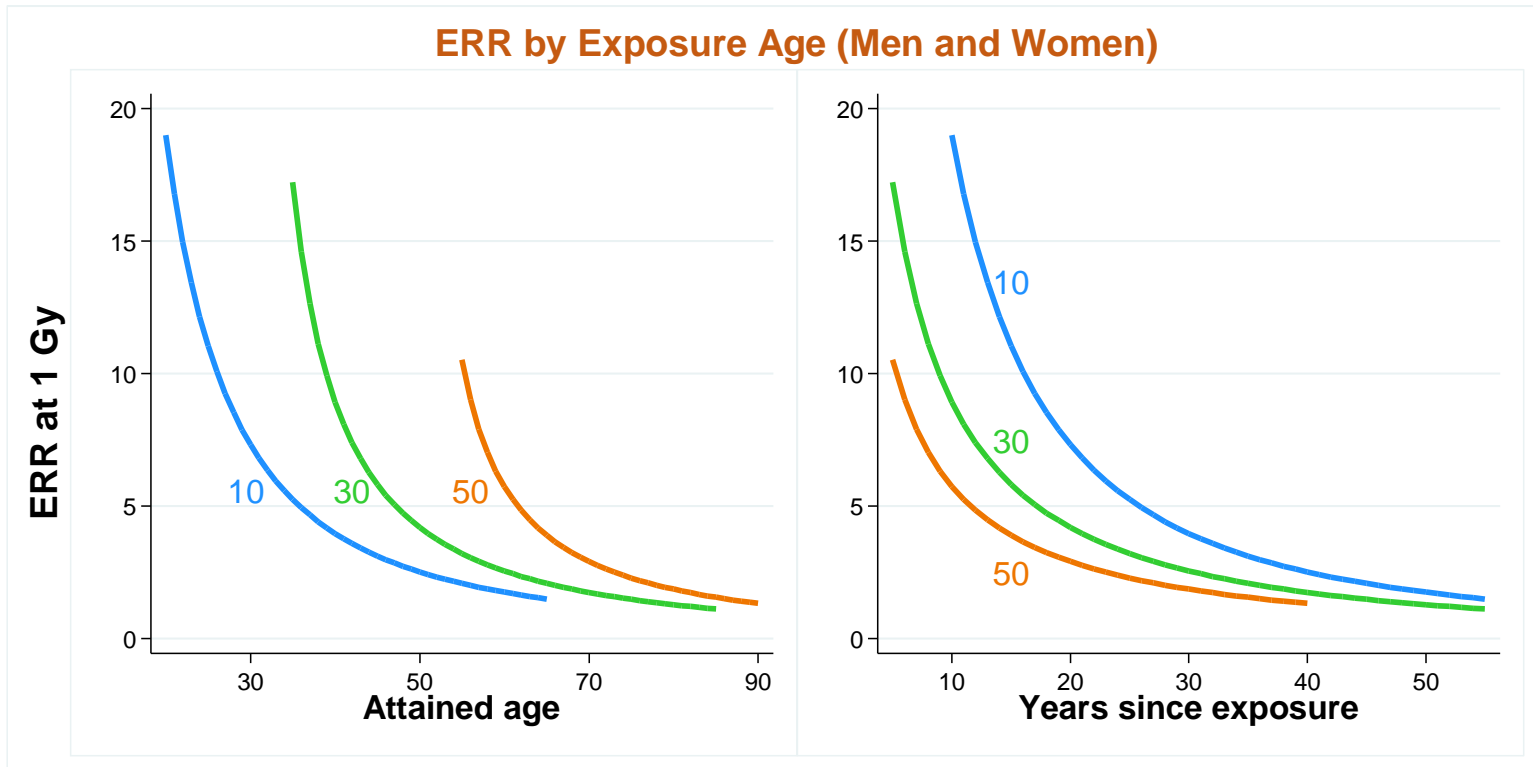
Ozasa et al 2012 LSS Report 14, *Radiat. Res.*

- Despite smaller number of excess cases, a considerably larger proportion of the cases are radiation-associated
- Non-linear dose response



Leukemia incidence 1950 – 2001

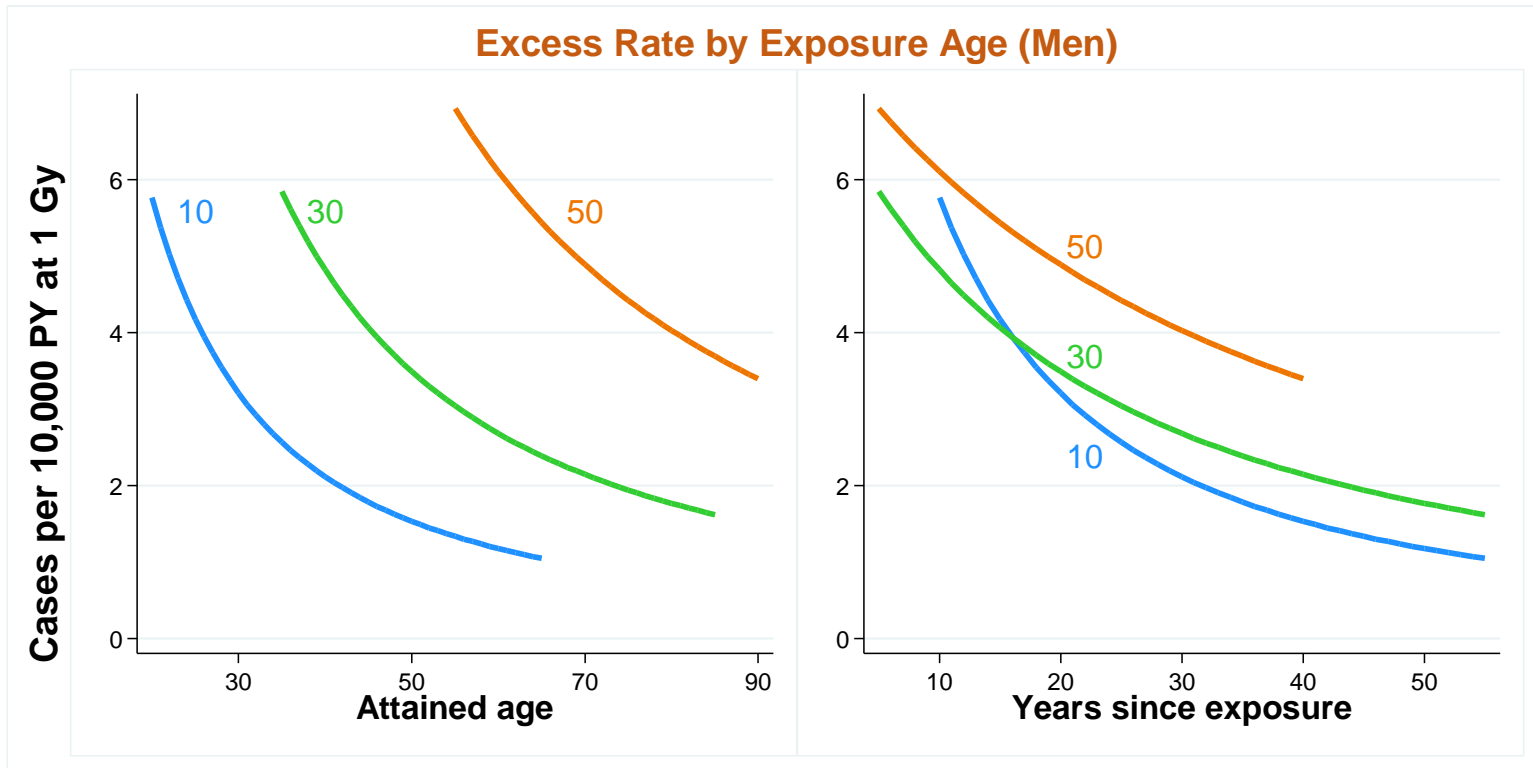
Excess Absolute Rate



- Decrease proportional to $age^{-1.1}$ and $tsx^{-0.8}$
- No additional age-at-exposure effect
- No sex difference

Leukemia incidence 1950 – 2001

Excess Rate



- Decrease proportional to $age^{-1.4}$
- Increases by 50% per decade increase in exposure age
- F:M ratio 0.66
- Naga:Hiro ratio 0.52

Hsu et al 2013 LSS Leukemia risks, *Radiat. Res.*

Related Issues

Time-Since-Exposure

- **Solid cancer**

- LSS data suggest that largest risks occur late in life regardless of age at exposure
- EAR TSE model fits worse than attained-age model without an agex-by-TSE interaction

- **Leukemia**

- TSE models motivated by EAR decrease and the belief that the excess disappeared after 15 to 20 years
 - Incorrect for ALL and AML
 - Possibly true for CML
- TSE models involve significant agex-by-TSE interaction
- Attained age models provide comparable fit without need for interaction

Radiation and Other Risk Factors Interaction and Effect Modification

- **Interaction**

- Joint effect is not simply the sum of the radiation effect (R) and the other effect (E).

$$f(R, E) \neq R + E$$

- Joint effect model needs to include interaction term, e.g. $R + E + R E$

- **Effect Modification**

- Radiation effect differs for different levels of the other risk factor

$$f(R | E = e_0) \neq f(R | E = e_1)$$

- Radiation effect model should depend on E
- E need to have an effect when $R=0$
- Radiation effect model should depend on E

Radiation and Other Risk Factors Confounding

- **Occurs when**
 - Risk depends on both R and E
 - E may or may not be an effect modifier
 - May be no interaction between R and E
 - Radiation exposure/dose is correlated with level of E
 - Effect of E is not included in risk model
- **Results in biased estimates of radiation effect**
- **Model joint effect of R and E**

Joint Effect Models

- **Focus on relative risk models**

- ERR models are the most natural way to describe interactions
- Use smoking and radiation as illustration

- **Simple models**

- Additive: **Rate = $BKG_{ns} (1 + ERR_{smk} + ERR_{rad})$**

- No interaction or effect modification
- ERR_{smk} and ERR_{rad} are relative to rates for unexposed non-smokers

- Multiplicative: **Rate = $BKG_{ns} (1 + ERR_{smk}) (1 + ERR_{rad})$
= $BKG_{ns} (1 + ERR_{smk} + ERR_{rad} + ERR_{smk} ERR_{rad})$**

- ERR_{rad} the same for all levels of smoking
- ERR_{rad} relative to rates that include smoking effect

Radiation and Other Risk Factors Interaction Models

- **Simple generalized interaction model**

- Rate = $BKG (1 + ERR_{smk} + ERR_{rad} + \theta ERR_{smk} ERR_{rad})$

- simple additive ($\theta=0$) and multiplicative ($\theta=1$) models are special cases

- **Generalized additive model**

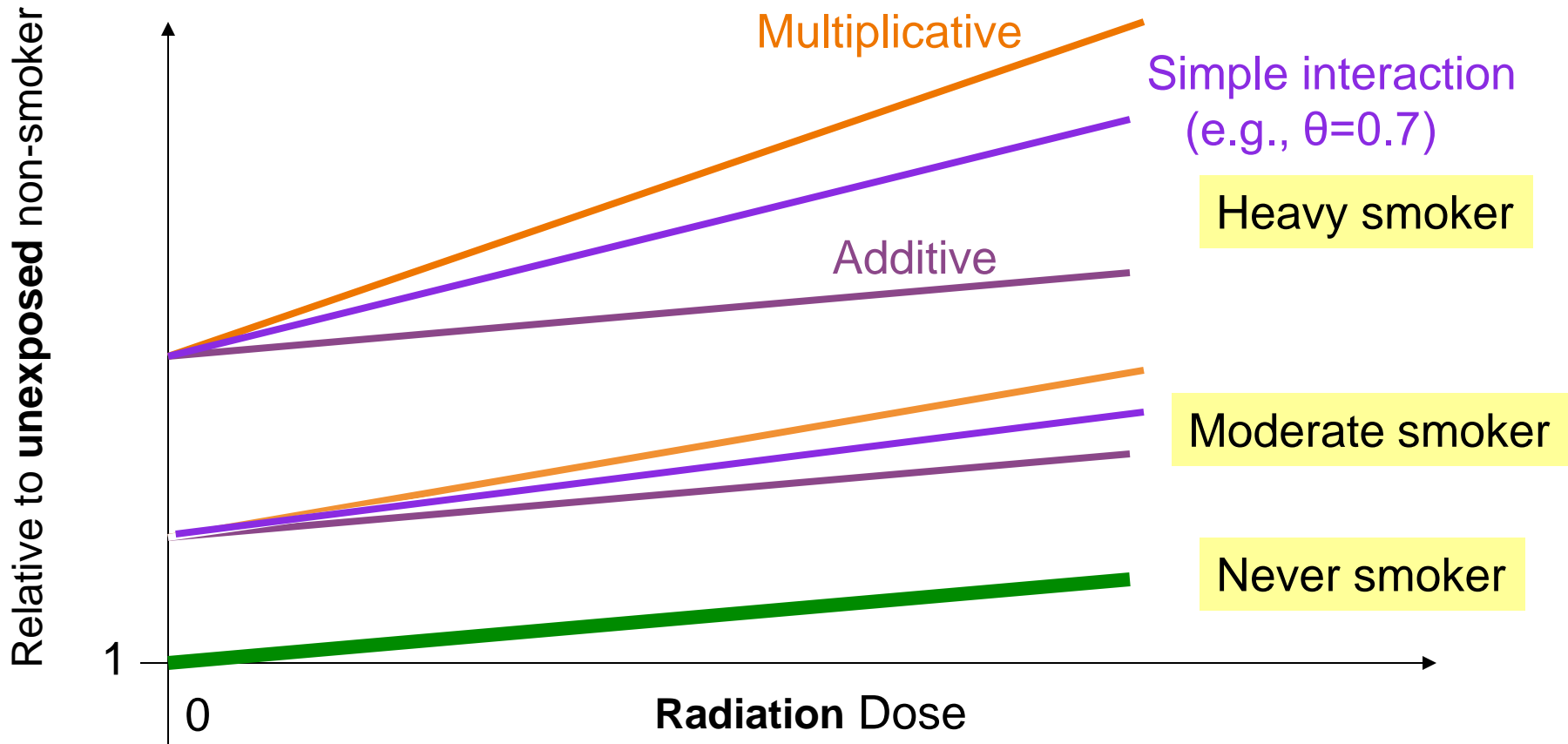
- Rate = $BKG (1 + ERR_{smk} + ERR_{rad} * f(smok))$

- $f(smok)$ is a function of smoking behavior such that $f(smok)=1$ for non-smokers

- **Generalized multiplicative model**

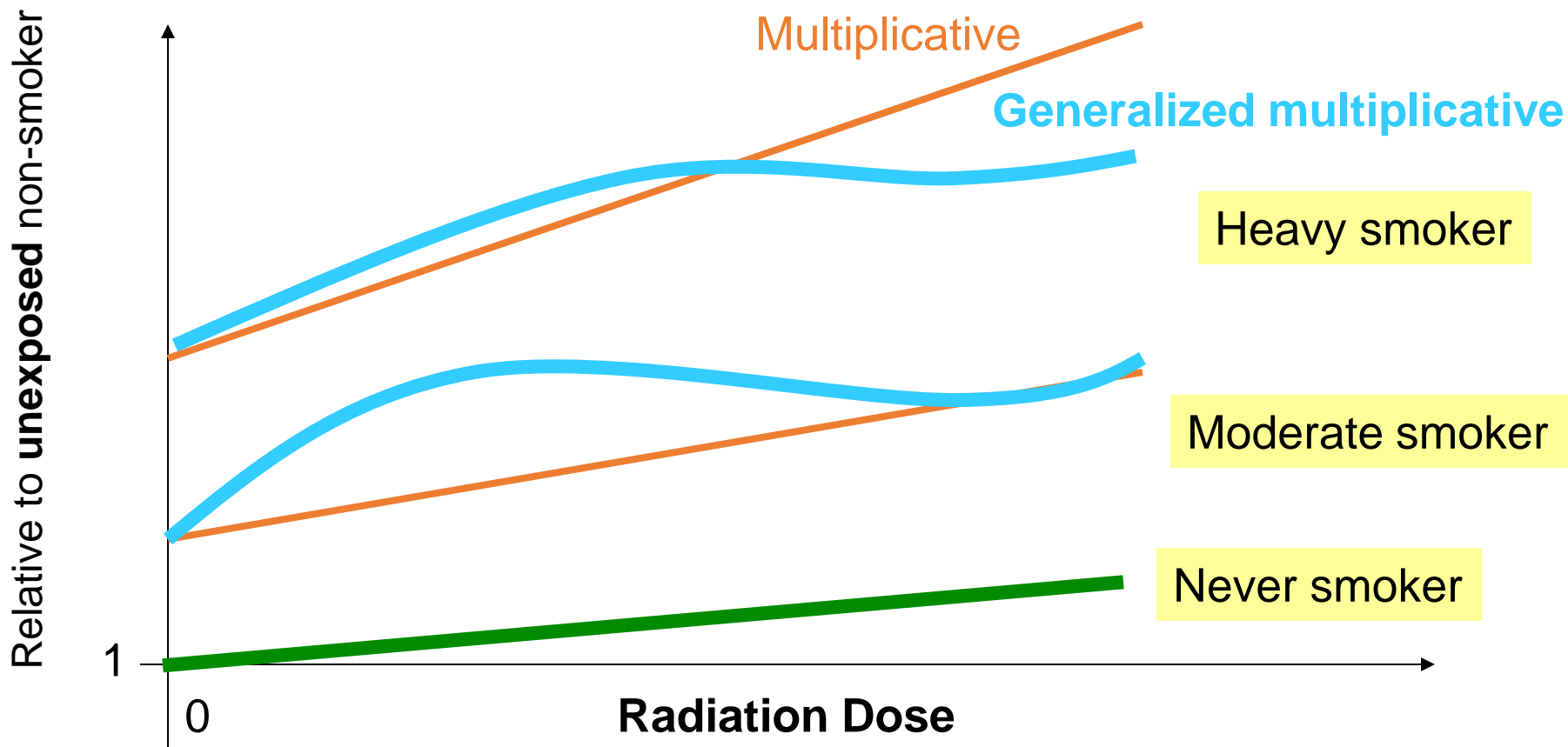
- Rate = $BKG (1 + ERR_{smk})(1 + ERR_{rad} * f(smok))$

Models Additive or Multiplicative ?



Models

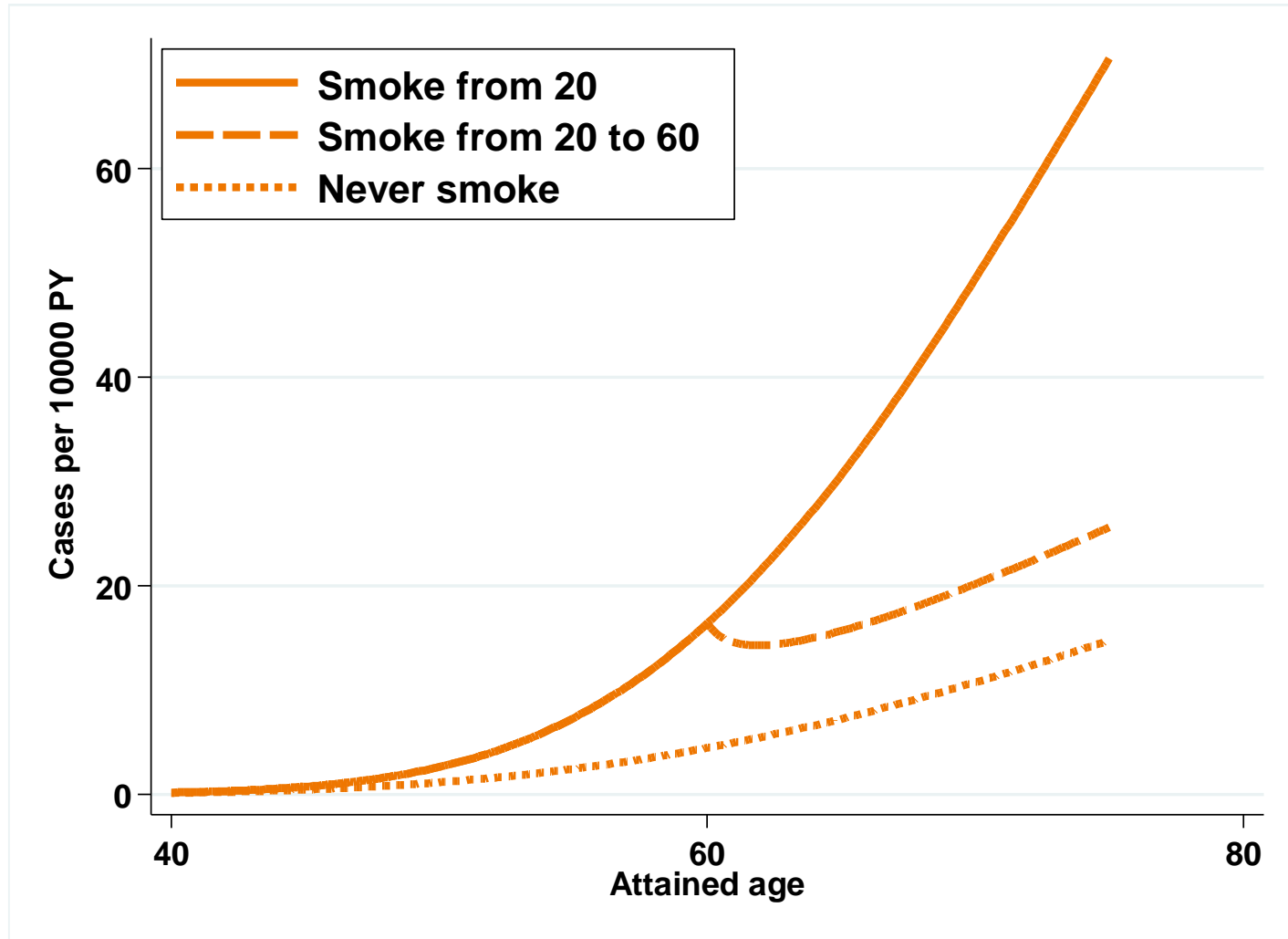
Additive, Multiplicative or General?



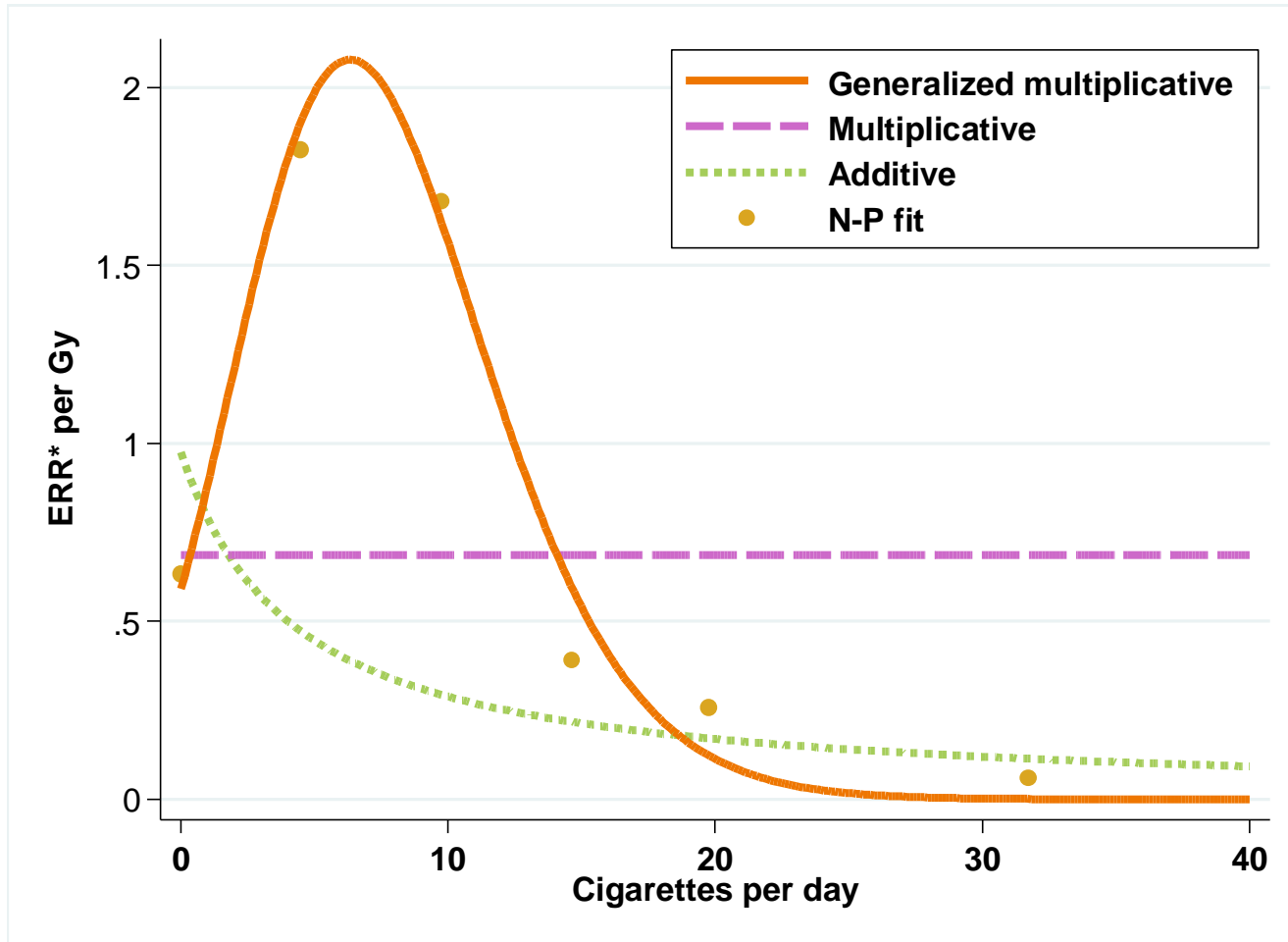
Lung Cancer Rate Model

- **Background rates (unexposed never smokers)**
 - Sex-specific log quadratic spline in log age
 - Additional effects for *year of birth, sex, city, location (in city or not)*
- **Radiation ERR**
 - $ERR_{rad} = \beta_{sex} \text{ dose} \cdot \text{age}^\nu \cdot \exp\{ \alpha \text{ agex} \}$
- **Smoking effect**
 - Dependent on smoking duration (*dur*), intensity(*pkday*), time since quitting (*tsq*) and pack-years ($pkyr = \text{dura} \cdot \text{pkday}$)
 - $ERR_{smk} = \delta_{sex} \text{ pkyr} \exp\{ \zeta \text{pkday} + \eta \log(\text{dur}) + \phi \log(1 + \text{tsq}) \}$
- **Generalized interaction**
 - $ERR_{rad(sm)} = ERR_{rad} \cdot \exp(\psi_1 \text{pkday} + \psi_2 \text{pkday}^2)$

Smoking Effect on Rates

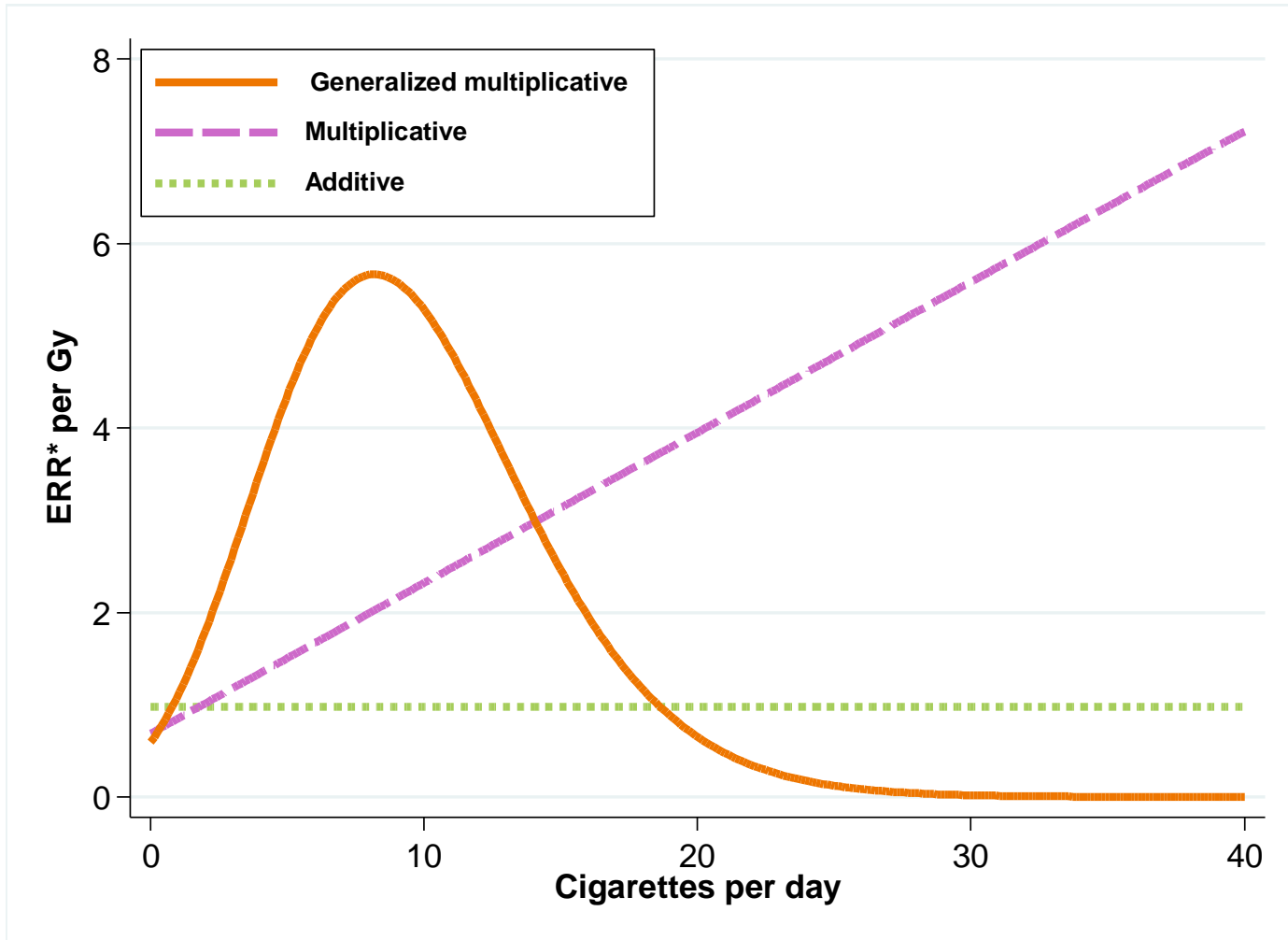


Smoking-Radiation Interaction (1)



* Relative to unexposed with same smoking history

Smoking-Radiation Interaction (2)



* Relative to unexposed non-smoker

LSS Radiation and Smoking in the LSS Summary

- **Smoking effects on lung cancer were modeled by intensity(rate) and duration.**
- **Neither simple additive nor multiplicative models are sufficient to model the joint effect of smoking and radiation.**
- **The interaction appears to be larger at lower smoking rates than higher rates.**

Interpreting Site-Specific Risks

- **Difficult to interpret and generalize effect modification**
 - ERR sex effects mirror baseline sex effects, but baseline effects may be similar across populations
 - Age at exposure effects in the ERR may depend on birth cohort or period effects on baseline rates
 - Can also be problems in generalizing EAR patterns
- **Site-specific differences in patterns are likely to exist**
 - However much of observed variability is consistent with random variation
 - Formal statistical tests generally lack power to detect real differences
 - Statistical methods for shrinking estimates toward a central value are likely to lead to improved estimators of risk levels, sex effects and age-time patterns

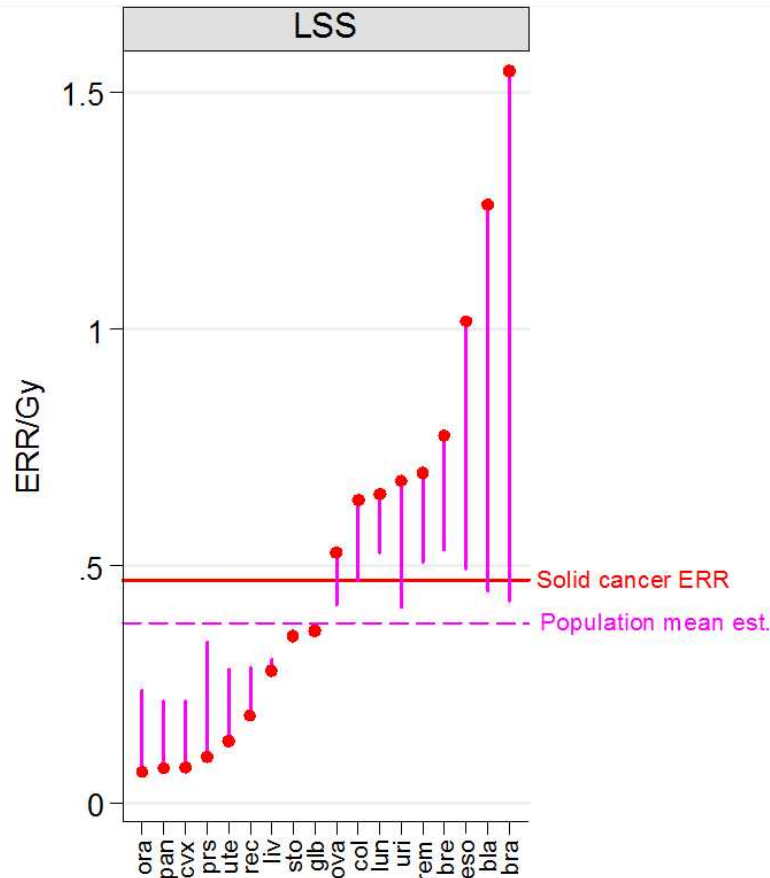
Adjusted Site-Specific Risk Estimates A Simple/Simplistic Example

- **LSS solid cancer mortality 1950 – 1997***
 - 86,572 in-city members of the LSS
 - 9,335 solid cancer deaths
 - ~440 associated with radiation exposure
- **ERR model for all solid cancers with sex, attained age, and age at exposure effects (similar to incidence model)**
- **ERR models also fit for 18 specific “sites”**
 - Site-specific ERR MLEs range from < 0.1 (oral cavity, pancreas, prostate) to 1 or more (breast, bladder, brain)
 - Estimated number of excess cases range from less than 3 (prostate oral cavity, cervix) to more than 80 (stomach, lung)

Adjusted Site-Specific Risk Estimates A Simple/Simplistic Example

- **Use Bayesian methods to describe population mean and variance and produce adjusted site-specific risk estimates**
 - “True” site-specific risk estimates taken as sample from a $N(\rho, \theta^2)$ distribution
 - Non-informative priors for ρ and θ^2
 - Posterior distributions for site specific risks and population parameters described using MCMC methods (WinBugs software) and summarized using the posterior mean values
 - Simplifying assumption: effect modifiers have same form for all sites
 - Implies that only level of the risk (ERR) varies by site

Adjusted Site-Specific Risk Estimates A Simple/Simplistic Example



- Unadjusted estimates range from 0.06 to 1.6
- Adjusted estimates range from 0.2 to 0.5
- Considerable reductions for largest risk estimates
- Suggests that statistical uncertainties are relatively large
- More realistic approach would allow nature of effect modification to vary across sites
 - Complicates calculations and summarization

MLE's shown as red dots
vertical lines extend to posterior mean estimate

Other major RERF findings

- **Cardiovascular disease**

- Dose response seen for heart disease and stroke at doses less than 1 Gy
- Excess cases much larger than for leukemia but somewhat less than solid cancers

- **In-utero exposure**

- Radiation effects on school performance and on growth and development
- Increased solid cancer risks after childhood – effect seems to be smaller than that seen in those exposed as children
- Little indication of childhood cancer effects, but power is low

- **Children of survivors**

- No evidence of radiation effects major malformations, birth weight, or sex ratio
- No indication of effects on cancer or non-cancer disease risks

Summary and Conclusions

- **Accumulating data and modern analytical methods make it possible to investigate radiation effect modification in some detail**
- **Data are limited even in the largest cohort**
 - Especially true when modeling interactions
- **Both ERR and EAR descriptions provide equally important and complementary information**
 - Attained age is an important factor in both
 - Generalization of age at exposure and sex effects can be difficult
- **Pooled analyses may be useful in looking at effect modification**
- **More work is needed to address issues related to the interpretation of site-specific risks**

Acknowledgments

- **We stand on the shoulders of giants**

Gil Beebe, Seymour Jablon, Jim Neel, Jack Schull

- **ABCC/RERF scientists and staff who made the ideas a reality**

George Darling, Howard Hamilton, Tetsuo Imada, Hiroo Kato, M. Kanemitsu, Bob Miller, Kenji Omae, Itsuzo Shigematsu and hundreds more

- **Collaborators**

- Old

Akio Awa, Harry Cullings, Saeko Fujiwara, Shochiro Fujita, Sachiyo Funamoto, Kazunori Kodama, Charles Land, Kiyo Mabuchi, Nori Nakamura, Don Pierce, Elaine Ron, Yukiko Shimizu, Michiko Yamada

- New

Kyoji Furukawa, Eric Grant, Hiromi Sugiyama, Ritsuko Sakata, Kotaro Ozasa

Questions and Answers

U.S. Department of Health and Human Services
National Institutes of Health | National Cancer Institute

www.dceg.cancer.gov/RadEpiCourse

1-800-4-CANCER

Produced May 2015